

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re:	)	
FAIRFIELD SENTRY LIMITED, et al.,	)	Chapter 15 Case
Debtors in Foreign Proceedings.	)	Case No: 10-13164
	)	Jointly Administered
	)	
FAIRFIELD SENTRY LIMITED (IN LIQUIDATION) and	)	
FAIRFIELD SIGMA LIMITED (IN LIQUIDATION), acting	)	
by and through the Foreign Representatives thereof, and	)	Adv. Pro. No. 10-03635
KENNETH KRYS and GREIG MITCHELL, solely in their	)	
capacities as Foreign Representatives and Liquidators thereof,	)	
	)	
Plaintiffs,	)	
-against-	)	
	)	
ABN AMRO SCHWEIZ AG a/k/a ABN AMRO	)	
(SWITZERLAND) AG, ADLER AND CO PRIVATBANK	)	
AG, ALLIANZBANK SPA/UNIFORTUNE	)	
CONSERVATIVE SIDE POCKET, ALTERNATIVE	)	
INVESTMENT STRATEGIES, BANCA ARNER SA,	)	
BANCA UNIONE DI CREDITO, BANK HAPOALIM	)	
SWITZERLAND LTD., BANK JULIUS BAER & CO. LTD.,	)	
BANK SARASIN & CIE, BANQUE CANTONALE	)	
VAUDOISE, BANQUE CRAMER & CIE SA, BBVA	)	
(SUISSE) SA, BCV AMC DEFENSIVE AL FUND, BNP	)	
PARIBAS (SUISSE) SA, BNP PARIBAS (SUISSE) SA EX	)	
FORTIS, BNP PARIBAS (SUISSE) SA PRIVATE, BSI AG,	)	
BSI EX BANCA DEL GOTTARDO, CACEIS BANK	)	
LUXEMBOURG, CBB (BVI)/ THE ALKIMA FUND, CBT	)	
GEMS LOW VOL REG, COMPAGNIE BANCAIRE	)	
HELVETIQUE, CENTRUM BANK AG (AMS), CLARIDEN,	)	
LEU LTD., CORNER BANCA SA, CREDIT SUISSE AG	)	
ZURICH, DEXIA BANQUE INTERNATIONAL A	)	
LUXEMBOURG, DRESDNER BANK SCHWEIZ, EFG BANK	)	
SA SWITZERLAND, EFG EUROFINANCIER D'INVEST	)	
MCL, ENDURANCE ABSOLUTE LTD. MASTER,	)	
FAIRFIELD INVESTMENT GCI, FAIRFIELD INVESTMENT	)	
FUND LTD., FALCON PRIVATE BANK, FIF ADVANCED	)	
LTD., FINTER BANK ZURICH, HARMONY CAPITAL FUND	)	
LTD., HSBC, IHAG HANDELSBANK AG, INCORE BANK	)	
AG, KARASEL ENHANCED PORTFOLIO, KARLA	)	
MULTISTRATEGIES LTD., LGT BANK IN LIECHTENSTEIN	)	
AG, LIECHTENSTEINISCHE LB REINVEST AMS, LLOYDS	)	
TSB BANK GENEVA, LOMBARD ODIER DARIER	)	

HENTSCH & CIE, LONGBOAT LTD., MASTER CAPITAL )
AND HEDGE FUND, NATIONAL BANK OF KUWAIT, NBK )
BANQUE PRIVEE SUISSE SA, PICTET & CIE, PKB )
PRIVATBANK AG, QUASAR FUNDS SPC a/k/a QUASAR )
FUND SPC CLASS A AND CLASS B CGCNV, RBC DEXIA )
INVESTOR SERVICE JULIUS BAER SICAV, RBS COUTTS )
BANK LTD., RICHOURT AAA MULTISTRATEGIES, )
ROTHSCHILD BANK AG ZURICH (DUBLIN) a/k/a )
ROTHSCHILD BANK AG, ROTHSCHILD BANK GENEVA )
(DUBLIN), ROTHSCHILD LUGANO DUBLIN a/k/a BANCA )
PRIVATA EDMOND DE ROTHSCHILD LUGANO S.A., )
EDMOND DE ROTHSCHILD (SUISSE) S.A., SIS )
SEEGANINTERSETTLE, SIX SIS LTD., SOCIETE )
GENERALE BANK & TRUST, SOUNDVIEW FUND, )
SWISSCANTE FD CENTRE CLIENTS A/C, T1 GLOBAL )
FUND LTD., UBS AG NEW YORK, UBS AG ZURICH, UBS )
JERSEY NOMINEES, VERWALTUNGS UND PRIVAT- )
BANK AG AKTIENGESELLSCHAFT (AMS), )
VORARLBERGER LANDES UND HYPOTHEKENBANK )
AKTIENGESELLSCHAFT and BENEFICIAL OWNERS OF )
ACCOUNTS HELD IN THE NAME OF CGC NA 1-1000, )
)
)
Defendants. )
)
This document is also being filed in the lead adversary )
proceeding, <i>Fairfield Sentry Ltd. (in Liquidation), et al. v.</i> )
<i>Theodoor GGC Amsterdam, et al.</i> , Adv. Pro. No. 10-03496. )
)

### NOTICE OF JOINDER

Defendants-Appellees UBS AG<sup>1</sup> and UBS Jersey Nominees, by and through their undersigned counsel, hereby join the Counter-Designation of Items to Be Included in the Record On Appeal, submitted by Defendants-Appellees BNP Paribas (Suisse) SA, BNP Paribas (Suisse) SA Ex Fortis, BNP Paribas (Suisse) SA Private, Caceis Bank Luxembourg, and HSBC filed today at ECF No. 532.

---

<sup>1</sup>

UBS AG was incorrectly sued herein as UBS AG Zurich and UBS AG New York.

**RESERVATION OF RIGHTS**

UBS AG and UBS Jersey Nominees expressly reserve the right to supplement the record on appeal or cross-appeal and to adopt the designation of any items designated or counter-designated by any other appellant, cross-appellant, appellee, or cross-appellee to any of the appeals or cross-appeals from the Appealed Decisions and Orders.

Dated: April 27, 2021  
New York, New York

Respectfully submitted,

GIBSON, DUNN & CRUTCHER LLP

By: /s/ Marshall R. King  
Marshall R. King  
[mking@gibsondunn.com](mailto:mking@gibsondunn.com)  
Gabriel Herrmann  
[gherrmann@gibsondunn.com](mailto:gherrmann@gibsondunn.com)

200 Park Avenue  
New York, New York 10166  
Tel.: (212) 351-4000

*Attorneys for Defendants-Appellees  
UBS AG and UBS Jersey Nominees*